

O I P
HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400

APR 07 2003.

2126
PATENT APPLICATION

ATTORNEY DOCKET NO. 10013503-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

4/18/03
#S BT

Applicant(s): Scot Leerssen et al.

C nfirmation N .:

Application No.: 09/896,242

Examiner: N/A

Filing Date: 06/29/2001

Group Art Unit: N/A

Title: SYSTEM AND METHOD FOR AUDITING SYSTEM CALL EVENTS WITH SYSTEM CALL WRAPPERS

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COMMISSIONER FOR PATENTS

Washington, D.C. 20231

APR 10 2003

INFORMATION DISCLOSURE STATEMENT

Sir:

Technology Center 2100

This Information Disclosure Statement is submitted:

() under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

(X) under 37 CFR 1.97 (c) together with either a:
(X) Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

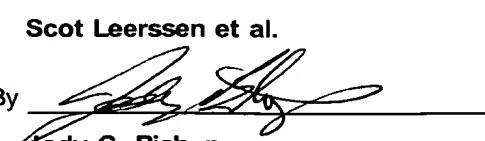
It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: April 4, 2003
OR

Respectfully submitted,

Scot Leerssen et al.

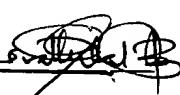
By


Jody C. Bish p

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Date: April 4, 2003

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Scott Leerssen et al.

Confirmation No.:

Application No.: 09/896,242

Examiner: N/A

Filing Date: June 29, 2001

Group Art Unit: N/A

Title: SYSTEM AND METHOD FOR AUDITING SYSTEM CALL EVENTS WITH SYSTEM CALL WRAPPERS

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Washington, D.C. 20231

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Sir:

The undersigned hereby certifies that either:

(X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

() No item of information contained in the Information Disclosure Statement

-- was cited in a communication from a foreign patent office in a counterpart foreign application, and

-- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,
Scott Leerssen et al.

By

Jody C. Bishop

Attorney/Agent for Applicant(s)
Reg. No. 44,034

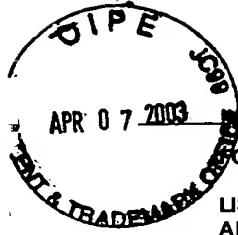
Date: April 4, 2003

Telephone No.: (214) 855-8007

PATENT APPLICATION

Sheet 1 of 1

APR 07 2003



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 10013503-1	APPLICATION NO. 09/896,242	CONFIRMATION NO.
APPLICANT Scott Leerssen et al.		
FILING DATE June 29, 2001	GROUP N/A	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A	5,491,808	02/13/1996	Geist, Jr.	
1B	5,925,126	07/20/1999	Hsieh	
1C	6,081,664	06/27/2000	Nowlin, Jr.	
1D				
1E				
1F				
1G				
1H				
1I				
1J				
1K				

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L	EP 0568717 A	11/10/1993	IBM		
1M	EP 0801348 A	10/15/1997	Hewlett-Packard		
1N	EP 0632377 A	01/04/1995	Microsoft Corp.		
1O	EP 0654735 A	05/24/1995	AT&T Corp.		
1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	"Execution trace analysis of OS/2 2.DLLs Using an Intercept Layer," IBM Technical Disclosure Bulletin, March 1994 (2 pages).
1R	Foreign Search Report dated January 15, 2003
1S	

EXAMINER

DATE CONSIDERED